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06/08/2006

Brian L. Michaelis, Esq.
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 One Financial Center
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09/12/2006 CNGUYEN3 00000058 500369 10782280

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Tracey Simmons

(Depositor's name)

Tracey Simmons

(Signature)

September 7, 2006

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/782,280	02/19/2004	Krishna Mangipudi	20496/1 CON	4251

TITLE OF INVENTION: METHOD AND APPARATUS FOR POLICY BASED CLASS SERVICE AND ADAPTIVE SERVICE LEVEL MANAGEMENT
 WITHIN THE CONTEXT OF AN INTERNET AND INTRANET

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	09/08/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
DONAGHUE, LARRY D	2154	709-226000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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1 Brian L. Michaelis, Esq.

2 Brown Rudnick Berlack

3 Israels LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Network Appliance, Inc.

Sunnyvale, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Brian Michaelis

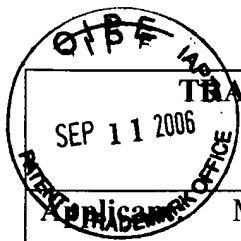
Date September 7, 2006

Typed or printed name Brian L. Michaelis

Registration No. 34,221

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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(37 C.F.R. §1.311)

Docket No.
20496/1 CON

Mangipudi et al.

Serial No.	Filing Date	Examiner	Group Art Unit	Confirmation No.
10/782,280	February 19, 2004	Larry D. Donaghue	2154	4251

Invention:

METHOD AND APPARATUS FOR POLICY BASED CLASS SERVICE AND ADAPTIVE SERVICE LEVEL MANAGEMENT WITHIN THE CONTEXT OF AN INTERNET AND INTRANET

TO THE COMMISSIONER FOR PATENTS
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Transmitted herewith are the following for the above-identified application.

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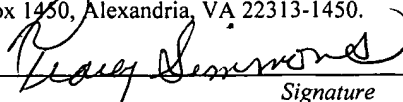
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Dated: September 7, 2006

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Tracey Simmons September 7, 2006
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